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15 UBS AG

16 **UNITED STATES DISTRICT COURT**
17 **NORTHERN DISTRICT OF CALIFORNIA**
18
19 **CITY OF STOCKTON,**) Case No.: CV-08-4060 JL
20)
21 vs. Plaintiff,) **DEFENDANT UBS AG'S**
22) **CERTIFICATION OF**
23) **INTERESTED ENTITIES OR**
24) **PERSONS**
25 **BANK OF AMERICA, N.A.;**)
26 **BANK OF AMERICA SECURITIES, LLC;**)
27 **BEAR, STEARNS & CO., UBS AG;**)
28 **JP MORGAN CHASE & CO;**)
29 **LEHMAN BROTHERS INC.;**)
30 **MERRILL LYNCH & CO., INC.;**)
31 **MORGAN STANLEY;**)
32 **PIPER JAFFRAY & CO.;**)
33 **WACHOVIA BANK, N.A.;**)
34 **CITIGROUP, INC.; AIG FINANCIAL**)

1 PRODUCTS CORP.; AIG SUNAMERICA)
2 LIFE ASSURANCE CO., INC.;)
3 FINANCIAL SECURITY ASSURANCE)
4 HOLDINGS, LTD., FINANCIAL)
5 SECURITY ASSURANCE, INC.;)
6 FINANCIAL GUARANTY INSURANCE)
7 COMPANY; AMBAC FINANCIAL GROUP,))
8 INC.; MBIA, INC.; RABOBANK GROUP;)
9 SALOMON SMITH BARNEY INC.;)
10 NATIONAL WESTMINISTER BANK, PLC;))
11 GE FUNDING CAPITAL MARKET)
12 SERVICES, INC.; TRINITY FUNDING CO.,))
13 LLC; GENWORTH FINANCIAL, INC.¹;)
14 SECURITY CAPITAL ASSURANCE INC.;)
15 NATIXIS, S.A.; SOCIÉTÉ GÉNÉRALE)
16 S.A.; XL ASSET FUNDING, LLC;)
17 XL LIFE INSURANCE & ANNUITY)
18 COMPANY; CDR FINANCIAL)
19 PRODUCTS; FELD WINTERS)
20 FINANCIAL LLC; WINTERS & CO.)
21 ADVISORS, LLC; CAIN BROTHERS &)
22 CO, LLC; NATIXIS FUNDING CORP.;)
23 GEORGE K. BAUM & CO.;)
and)
DOES 1 – 50.)
Defendants.)

¹ By agreement of the parties, Genworth Financial, Inc. has been dismissed without prejudice and Genworth Financial Investment Management, LLC has been served as DOE 1.

1 **CERTIFICATION OF INTERESTED ENTITIES OR PERSONS**

2 Pursuant to Civil L.R. 3-16, the undersigned certifies that as of this date, other than
3 the named parties, there is no such interest to report.

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7 Dated: September 2, 2008

By: Stephen V. Bomse

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